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Sheet 1 of 6

Application Number	10/755,946
Filing Date	January 13, 2004
First Named Inventor	Francisco J. Ayala
Group Art Unit	608 2121
Examiner Name	NOT YET KNOWN
Attorney Docket Number	128180-1000

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George Davis

2/17/05

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¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 6

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Filing Date	January 13, 2004
First Named Inventor	Francisco J. Ayala
Group Art Unit	888 2121
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U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ²	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ³ (if known)			
G.P.		5,588,590	B1	Tolson	10-22-1996	
		5,617,483	B1	Osawa	04-01-1997	
		5,633,988	B1	Takatori et al.	05-27-1997	
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		5,870,728	B1	Yatsuzuka et al.	02-09-1999	
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		5,920,851	B1	Muller	07-06-1999	
		5,930,781	B1	Toomarian et al.	07-27-1999	
		5,937,432	B1	Yamaguchi et al.	08-10-1999	

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Signature**

George Davis

Date Considered

2/17/05

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> Sheet <u>3</u> of <u>6</u>		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/755,946</td> </tr> <tr> <td>Filing Date</td> <td>January 13, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Francisco J. Ayala</td> </tr> <tr> <td>Group Art Unit</td> <td>608-2121</td> </tr> <tr> <td>Examiner Name</td> <td>NOT YET KNOWN</td> </tr> <tr> <td>Attorney Docket Number</td> <td>128180-1000</td> </tr> </table>		Application Number	10/755,946	Filing Date	January 13, 2004	First Named Inventor	Francisco J. Ayala	Group Art Unit	608-2121	Examiner Name	NOT YET KNOWN	Attorney Docket Number	128180-1000
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		Number	Kind Code ² (if known)			
G.D. 						

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ³ (if known)				

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/755,946
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	888 2121
		Examiner Name	NOT YET KNOWN
Sheet 4	of 6	Attorney Docket Number	128180-1000

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.D.		HALLINAN, J., et al., 1998; <u>Cooperative Evolution Of A Neural Classifier And Feature Subset</u> ; Proc. 2nd Asia-Pacific Conference on Simulated Evolution and Learning	
G.D.		HARTER, D. et al., 2001; <u>Models of Ontogenetic Development for Autonomous Adaptive Systems</u> ; Proceedings of the 23rd Annual Conference of the Cognitive Science Society, pp. 405-410	
G.D.		HOCHMAN, R., et al., 1996; <u>Using The Genetic Algorithm To Build Optimal Neural Networks For Fault-Prone Module Detection</u> ; In Proc. the Seventh Int. Symposium on Software Reliability Engineering, pp. 152-162; White Plains, N.Y.	
G.D.		KEANE, M.A., et al., 2002; <u>Automatic Synthesis Using Genetic Programming of an Improved General-Purpose Controller for Industrially Representative Plants</u> ; <i>Evolvable Hardware</i> , 2002; pp. 113-122.	
G.D.		MICHEL, O., 1995; <u>An Artificial Life Approach for the Synthesis of Autonomous Agents</u> ; In J. Alliot, E. Lutton, E. Ronald, M. Schoenauer, and D. Snyers (Eds.), Proceedings of the European Conference on Artificial Evolution. Springer-Verlag.	
G.D.		KAEHLING, L.P., et al. <u>Reinforcement Learning: A Survey</u> , (1996), <i>Journal of Artificial Intelligence Research</i> 4: 237-285.	
G.D.		TOURETZKY, D.S., et al., (1997) <u>Operant Conditioning in Skinnerbots</u> . <i>Adaptive Behavior</i> 5:219-247.	
G.D.		BYOUNT-TAK ZHANG, et al., <u>Evolutionary Induction of Sparse Neural Trees</u> , <i>Evolutionary Computation</i> , 1997, Vol. 5, No. 2, pp. 213-236	

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10755,946
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	600 2121
		Examiner Name	NOT YET KNOWN
Sheet 5 of 6	Attorney Docket Number	128180-1000	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.D.		BYOUNG-TAK ZHANG, et al., <u>Evolutionary Neural Trees for Modeling and Predicting Complex Systems</u> ; <i>Engng. Applic. Artif. Intell.</i> Vol 10, No. 5 pp. 473-483, 1997	
G.D.		KOZA, JOHN R., 1989, <u>Hierarchical Genetic Algorithms Operating on Populations of Computer Programs</u> , Reprinted: Computer Science Department, Stanford University, Stanford, CA; pp. 578-584	
G.D.		F. PASEMANN; <u>Pole-Balancing with Different Evolved Neurocontrollers</u> ; Max-Planck-Institute for Mathematics in the Sciences, D-04103 Leipzig, Germany; pp. 1-7	
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G.D.		R.E.Lenski, et al.; <u>The Evolutionary Origin of Complex Features</u> ; <i>Nature</i> , Vol. 423, 8 May 2003; pp. 139-144	
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G.D.		P.D. WASSERMAN, et al.; <u>Neural Networks, What are they and Why is Everybody so Interested in Them Now?</u> ; Part 2; Institute of Electrical and Electronics Engineering <i>Expert</i> , Spring 1988; pp. 10-15	
G.D.		R.S.SCALERO, et al.; <u>A Fast New Algorithm for Training Feedforward Neural Networks</u> ; Institute of Electrical and Electronics Engineering; Transactions on Signal Processing. Vol. 40, No. 1, January 1992; pp. 202-210	

Examiner Signature	George Davis	Date Considered	2/17/05
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/755,948
		Filing Date	January 13, 2004
		First Named Inventor	Francisco J. Ayala
		Group Art Unit	600-2121
		Examiner Name	NOT YET KNOWN
Sheet 6 of 6	Attorney Docket Number	128180-1000	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.D.		K.E. KINNEAR, JR.; <u>Evolutionary Computers 1, Basic Algorithms and Operators</u> , Beck, T. et al. (eds) 2000; Institute of Physics Publishing, Bristol; pp. 102-113	
G.D.		P.J. ANGELINE; <u>Evolutionary Computers 1, Basic Algorithms and Operators</u> , Beck, T. et al. (eds) 2000; Institute of Physics Publishing, Bristol; pp. 102-113	
G.D.		D.TVETER; <u>The Pattern Recognition Basis of Artificial Intelligence</u>	
G.D.		S.L.BLYUMIN, et al.; <u>Reduction of Adjusting Weights Space Dimension in Feedforward Artificial Neural Networks Training</u> ; IEEE International Conference on Artificial Intelligence Systems; September 5-10, 2002; p. 242	
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G.D.		N.P. ARCHER, et al.; <u>Learning Bias in Neural Networks and an Approach to Controlling its Effect in Monotonic Classification</u> ; IEEE Transactions on Pattern Analysis and Machine Intelligence, 1993; pp. 962-966	
G.D.		A.K. BANSAL; <u>Establishing a Framework for Comparative Analysis of Genome Sequences</u> ; IEEE First International Symposium on Intelligence in Neural and Biological Systems May 29-31 1995; p. 84	
G.D.		M.HILARIO, et al.; <u>A Metalevel Architecture for Knowledge-Based neural Network Design</u> ; IEEE 9 th International Conference on Tools with Artificial Intelligence; November 2-8, 1997	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete If Known

Application Number	10/755,946
Filing Date	January 13, 2004
First Named Inventor	Francisco J. Ayala
Art Unit	2121
Examiner Name	Not yet known
Attorney Docket Number	128180-1000

NON PATENT LITERATURE DOCUMENTS

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Examiner Signature	George Davis	Date Considered	2/17/05
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